

#7

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application No.	09/781,120
		Filing Date	February 8, 2001
		First Named Inventor	Gregory L. Schaffer
		Group Art Unit	2838
		Examiner Name	Berhane, A.
Total Number of Pages in This Submission	147	Attorney Docket Number	55123P086R

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Form PTO/SB/08; Copies of 7 Cited References; Duplicate Copies of Items Submitted with Reissue Application</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Roger W. Blakely, Jr., Reg. No. 25,831 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Roger W. Blakely</i>
Date	October 10, 2001

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: October 10, 2001			
Typed or printed name	Jessica A. Clark		
Signature		Date	October 10, 2001

Please type a plus sign (+) inside this box

OCT 18 2001

PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FREE TRANSMITTAL **for FY 2000**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 1,266.00

Complete if Known

Application No.	09/781,120
Filing Date	February 8, 2001
First Named Inventor	Gregory L. Schaffer
Examiner Name	Berhane, A.
Group/Art Unit	2838
Attorney Docket No.	55123P086R

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 02-2666

Deposit Account Name Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ **Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent	Multiple Dependent	Claims				
55	46	9	X	18.00	=	\$162.00
17	6	11	X	84.00	=	\$924.00

*or number previously paid, if greater. For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 1,086.00

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 180.00

SUBMITTED BY

Complete (if applicable)


Name (Print/Type)	Roger W. Blakely, Jr.	Registration No. (Attorney/Agent)	25,831	Telephone	(714) 557-3800
Signature		Date	10/10/01		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED



Attach to
Paper #7

Patent No.: 5,870,296 Issue Date: 02/09/99 BSTZ Docket #: 055123.P086R Atty/Sec: RWB/nkc
Date Mailed: 02/08/2001 Docket Due Date: _____ Client: Maxim Integrated Products, Inc.
Title Dual Interleaved DC to DC Switching Circuits Realized in an Integrated Circuit **J1036 U.S. PTO**
09/781120
Inventor(s) Schaffner 
02/08/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- | | |
|--|---|
| <ul style="list-style-type: none"><input checked="" type="checkbox"/> Amendment/Response (<u>9</u> pgs)<input type="checkbox"/> Amendment/Response After Final (<u> </u> pgs)<input type="checkbox"/> Appeal Brief & two copies (<u> </u> pgs each)<input checked="" type="checkbox"/> Rule 1.171 Application: <u>Reissue</u>
(<u>1</u> pages w/ cover & abstract)<input type="checkbox"/> Assignment & Cover Sheet (<u> </u> pgs)<input type="checkbox"/> Certificate of<input checked="" type="checkbox"/> Declaration & POA (<u>3</u> pgs) By Assignee <u> </u><input type="checkbox"/> Disclosure Docs & Inv's Signed Ltr<input checked="" type="checkbox"/> Drawings: <u>4</u> sheets, <u>5</u> figures<input checked="" type="checkbox"/> Express Mail No. <u>EL466330343US</u><input checked="" type="checkbox"/> Fee Transmittal (original & copy)<input checked="" type="checkbox"/> Other <u>1) Reissue App Decl/Inv 2) Reissue App/Assignee</u>
<u>Offer Surrender Patent 3) Orig U.S. Pat 4) Stmt of</u>
<u>Status/Supp/Claim Changes 5) Stmt 3.73(b)</u> | <ul style="list-style-type: none"><input type="checkbox"/> Information Disclosure Statement & PTO-1449 (<u> </u> pgs)<input type="checkbox"/> Issue Fee Transmittal (original & copy)<input type="checkbox"/> Notice of Appeal<input type="checkbox"/> Petition for Extension of Time: <u> </u><input type="checkbox"/> Petition for <u> </u> (<u> </u> pgs)<input type="checkbox"/> Power of Attorney (<u> </u> pgs)<input type="checkbox"/> Preliminary Amendment (<u> </u> pgs)<input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)<input type="checkbox"/> Reply Brief (<u> </u> pgs)<input type="checkbox"/> Response to Notice of Missing Parts & PTO-1533 (<u> </u> pgs)<input type="checkbox"/> Supplemental Amendment (<u> </u> pgs)<input type="checkbox"/> Transmittal Letter<input checked="" type="checkbox"/> Check No. <u>1 11541</u> Amount <u>\$1,480.00</u><input type="checkbox"/> Check No. <u>2</u> Amount <u> </u><input type="checkbox"/> Check No. <u>3</u> Amount <u> </u> |
|--|---|